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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: § Group Art Unit: 2185
Amanat, O. et al. §

Serial No.: 09/643,227 §

Filing Date: August 22, 2000 §

Title: APPARATUS AND METHOD FOR §
ADDING LIQUIDITY TO AN ECN §
AND IMPROVING EXECUTIONS §
OF ORDERS FOR SECURITIES §

Examiner: *Unassigned*

Docket No.: T30364US

Box Petition

Commissioner of Patents
Washington, D.C. 20231

Certificate of Mailing
I hereby certify that this correspondence is being deposited with the
United States Postal Service with sufficient postage as First Class Mail in
an envelope addressed to: Box Petition, Assistant Commissioner for
Patents, Washington, D.C. 20231, on the date of signature below.

Signature *Denny Mee*
Date 11-2-00

PRELIMINARY AMENDMENT

Dear Sir,

This Preliminary Amendment is filed in response to a Notice of Omitted Item(s) In
A Nonprovisional Application dated October 12, 2000, and should be noted when
considering the Petition for Acceptance of Filing Date submitted together with this
Preliminary Amendment.

The referenced application as filed on August 22, 2000 contains a typographical
error in the numbering of the drawings on page 6 of the original specification. To correct
the typographical errors, please amend the specification as set forth below: